Science and Technology in The German Democratic Republic— A Preliminary Assessment

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Scientific and Technical Intelligence Committee





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Science and Technology in The German Democratic Republic— A Preliminary Assessment

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Note to Reader

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Declassified in Part - Sanitized C	opy Approved for Release	2012/05/07 : CIA-RDP05T00280R000300230001	-0 _ 25X1
Preface	State with a proposal to The Department of State Committee (STIC) and a support their negotiating structure and technical atechnology in the GDR capability in this area; he for the negotiating process.	emocratic Republic (GDR) approached the Department of establish a science and technology exchange agreement. e requested that the Scientific and Technical Intelligence the Technology Transfer Intelligence Committee (TTIC) g process with an assessment of the GDR's S&T infracapability. It is very difficult to assess science and because of the very limited Intelligence Community owever, this paper addresses most of the important areas ess. The preliminary conclusions of this paper are subject due to more indepth analysis.	
	The integrator of this pa Further information on Secretariat are:	Executive Secretary, STIC. this paper may be obtained by calling the STIC The principal authors and contributors to this paper	25X1 25X1
	Roy Frontani	Central Intelligence Agency Central Intelligence Agency Executive Secretary, Technology Transfer Intelligence Committee Air Force/Foreign Technology Division (Chairman, STIC Structural Materials	25X1
	Major (P) John Weske	Working Group) US Army/Armed Forces Medical Intelligence Center (Chairman, STIC Life Science Working Group)	
	N. H. Krikorian	Department of Energy, Los Alamos National Laboratories Defense Intelligence Agency (Chairman, STIC Computer Working	25 X 1
	Denver Stone	Group) Army/Foreign Science and Technology Center (Chairman, STIC Electro-optics Working Group)	
		Security Agency made a significant contribution to this on East German pyroelectric detectors can be found in	25X1
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	Science and Technology in The German Democratic Republic— A Preliminary Assessment	25X
Key Judgments	In general, we believe that the German Democratic Republic would benefit from a US-GDR scientific exchange program, but US gains would be small. US scientific and technical (S&T) intelligence gains will also be small, but East German and Soviet gains could be significant. The Soviet Intelligence Services are certain to receive all information collected by the East Germans.	25X
	The decisionmaking structure of the GDR consists of a hierarchical chain of command topped by the Socialist Unity Party of Germany (SED). The State Planning Commission (SPK) works closely with the SED to plan economic goals. This planning process takes into account the availability of material and human resources, anticipated technological progress, and other factors. The SPK transmits tentative targets for each economic sector to the government ministries which in turn pass them to subordinate combines, enterprises, and basic production units. The Ministry for Science and Technology is the main governmental organization for managing and directing scientific research. It selects projects of national importance for inclusion in the state plan for science and technology and monitors the plan's fulfillment. The Ministry for National Defense monitors R&D in the GDR to ensure that the military receives adequate supplies of weapons, technology, and equipment; its technology and weaponry administration is involved in R&D planning.	25X
	Worldwide, no East European intelligence service has been as active or as successful in illegal technology transfer as the East German Ministry of State Security (MFS). The Science and Technology Department (SWT) of the Main Administration for Intelligence (HVA) is responsible for both the acquisition and evaluation of S&T materials. Initially the SWT confined its interests to the collection of information on military and armaments technology from the Federal Republic of Germany (FRG) and NATO member nations, but, in the mid-1970s, began acquiring Western technology by both legal and illegal means to close the technology gap with the West. These MFS/HVA efforts have been able to supply East German industry with technological processes or production capabilities that would otherwise not have been available or which would have been far more expensive to obtain legally. The MFS works closely with the Soviet Intelligence Service (SIS) as does East German military intelligence with the GRU. Basic decisions are made by the directors of the MFS and the KGB, and the directors of the two military intelligence services with the SIS acting as the senior partner in each case. The KGB liaison officer at	
	KGB, and the directors of the two military intelligence services with the	

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HVA headquarters in East Berlin also levies collection requirements for the SIS, as does his GRU counterpart on East German military intelligence. Department IV of the HVA, which has special responsibility for military S&T collection, also has a KGB special liaison officer who levies SIS collection requirements.	
The GDR's interests in illegal technology transfer encompass the full range of advanced technologies important to military and industrial development. These include microelectronics, communications, computer software, chemical technology, nuclear and conventional energy, materials technology, and bioengineering. The principle focus is on developments that contribute to the military and industrial strength of West Germany and NATO. Concern with US developments that contribute to NATO is high.	
Priorities for technology collection may be altered by Soviet requirements.	25 X 1
There is the likelihood of a large-scale MFS effort to use academics as willing or unwilling sources as part of the GDR's broader effort to collect S&T intelligence. Many East German academics work for the MFS. Such work is in fact a prerequisite for advancement at home and access to foreign travel to attend scholarly meetings or to undertake research projects at Western institutions.	25X1
We are unable to completely describe East German technical accomplishments because of a lack of information and analysts studying the GDR. We do know, however, that the GDR exports six times as much to the Soviet Union (USSR) as it imports from the USSR. We believe that the East Germans have active R&D programs in many technical areas and have been successful in developing an indigenous capability in many areas. Some of these programs may be in support of the Soviet Union in areas where the Soviets have not been able to develop technology for themselves. We do not expect the GDR life sciences base to be very innovative; however, they do have some areas of excellence like toxicology and instrumentation. Funding for academic and basic research probably is inadequate, and applied research and development tends to utilize com-	0.5344
The GDR is very advanced in some aspects of metallurgy including melt processing of metals. Their electron beam and plasma melting and coating equipment is very sophisticated. The East German capability in machine tools, robots, and flexible manufacturing automation at least equals that of all the other Warsaw Pact countries and their equipment compares	25X1
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		favorably to similiar equipment produced in Westis known about the overall status of electro-optics of the East Germans have been capable of producing long time. We know that they are working on laser vices for the military and that they have developed that could be useful to the military. They have prosophisticated to sophisticated optoelectronic composition of the East Germans have an excellent capability to isotopes, which they export. The GDR is heavily opower and has many trained nuclear scientists and probably has not developed a reactor fuel reprocession in the other areas of the nuclear fuel cycle is diation monitoring equipment, and waste handling most advanced Bloc country in microelectronics as success is attributable to their well-funded efforts economic espionage, their pre/post-war technology capability to transfer technology from the West.	research in the GDR, but sophisticated optics for a rs and related optical ded pyroelectric detectors duced more than 100 unonents for controllers. produce stable and radio committed to nuclear d technicians. The GDR ssing capability but is including production, rag. East Germany is the nd computers. Their in industrial and	25X1 25X1
		The East Germans do not appear to be very aggree visits to the United States because only 310 East of since 1975. The distribution of visit requests in the materials, and semiconductors is similar to that notion of S&T visit requests by other East Europear surprisingly small number of East German visit regulated areas. The distribution of visit requests by Soviets may have used East German S&T visitors acquisition of S&T information, since the number visit requests varied inversely with poor US-USSI through 1982.	Germans requested visits the areas of chemicals, otted during an examination countries. There was a requests in computer-year suggests that the sas surrogates for the rof East German S&T R relations from 1978	25X1
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	Science and Technology in The German Democratic Republic	_	
	A Preliminary Assessment		25X1
	The Scientific and Technical Infrastructure	Research and Technical Development Department are responsible for working with the ministries in-	
	The German Democratic Republic has a strong com-	volved in S&T and R&D efforts and ensure that they	OEV.
	mitment to science and technology. This commitment is formalized in Article 17 of its constitution which	fulfill the annual and five-year plans.	25 X
	states: "Science and research as well as the applica-	In the fall of 1981, the SED Politburo issued a	
	tion of their findings are essential foundations of	resolution on improving S&T management and plan-	
	socialist society and are fostered by the state in every respect. The GDR promotes science and education	ning. Since then various laws and decrees have fo- cused on improving efficiency in this sector, tying	
	with the aim of projecting and enriching society and	planning more closely to production, and calculating	
	the life of the citizens, of mastering the scientific	the cost-benefit ratio. A 1982 order designed to link	
	technical revolution as well as of guaranteeing the	enterprise planning more closely to S&T objectives	05.
	constant progress of socialist society."	stipulates that S&T drafts must be submitted ahead of other sections of the economic plan. A 1983 decree	25X
	The East German investment in science and technol-	on improving cost accounting stipulates that all S&T	
	ogy is large and growing. In 1983, more than 8 billion	planning targets and criteria must be formulated	
	marks were assigned to S&T—roughly twice the S&T budget of 1971.	accurately and complied with. An overall economic account (cost-benefit billing) projects the economic	25 X
	oudget of 1771.	effects of R&D projects, both for the year when they	_ 25/\
	Scientific Policy Making in the German Democratic	are introduced and for the following year.	25X
	Republic The decisionmaking structure in the GDR consists of	State Planning Commission. The State Planning	
	a hierarchical chain of command topped by the	Commission (SPK) is headed by Gerhard Schuerer,	
	Socialist Unity Party of Germany (SED) and its	who is also a candidate member of the SED Politburo	
	general secretary, Erich Honecker. SED leaders play a direct role in major policy decisions affecting out-	and a deputy chairman of the Council of Ministers. Working closely with top SED authorities, the SPK	
	lays for and direction of S&T research and develop-	charts economic goals, taking into account the avail-	
	ment.	ability of material and human resources, anticipated	25X
	The Politburo, the policymaking body of the party,	technological progress, foreign trade potential, and the need for regular improvements in the standard of	
	currently consists of 21 members and four candidate	living. The SPK has ties to the country's national	
	members. Among the Politburo members whose opin-	defense efforts: the Defense Law of 1978 stipulates	
	ions carry considerable weight in S&T policymaking are Guenter Klieber, a technocrat and industrial	that economic management must support the national defense at all times. The SPK includes a special	
	minister with an interest in microelectronics, and	department for the armed forces headed by a general	
	Guenter Mittag, the SED secretary who oversees the	who is one of the Commission's vice chairmen.	25 X °
	economy. The Secretariat administers SED policy; each of its 11 members has a specific area of responsi-	The SPK transmits tentative targets for each econom-	
	bility. Under the Central Committee are about 40	ic sector annually to the government ministries which	
	departments employing professional party workers	in turn pass them to subordinate combines, enter-	
	who collect data from lower-level party officers, produce "guidelines" for their work, and monitor the	prises, and basic production units. At each level, a	
	performance of government ministries in implement-		
	ing party decisions. The Science Department and the		
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proposed plan is developed based on estimates of the resources available. These plans then move upward	technology, and S&T relations and supply. The Planning and Coordination Department receives the mate-	
again to the SPK where various elements are integrated and any differences with the initial targets are reconciled. After considering further recommendations of the second secon	riel plans for the military services and coordinates them with the relevant sectors of industry. The overall plan must then be approved by the SPK.	2
tions from top party bodies, the SPK fixes the plan for each economic sector. Targets are then sent to the subordinate units; at the lowest levels, the annual plan is further subdivided into quarterly and monthly plans	Industrial Decision Making—The Combines. The formation of combines (Kombinate)—industrial enterprises merged into large, tightly integrated economic	
for each production unit. National Research Council. The National Research	units—began in the GDR during 1966 and 1967. Since then, they have developed into what East German economists describe as "the chief pillar of	2
Council was founded in 1957 as an advisory body to the Council of Ministers on S&T research and devel- opment. It advises the SPK on questions of science	modern economic management." The combines were created to provide unified management for various enterprises, from research to production and sales,	
and technology; plans and coordinates research and development in the natural sciences and technology; supervises the implementation of research plans; and	and to speed up scientific-technical progress through their own R&D efforts.	2
coordinates research capacity and available resources. It is assisted by central working groups of scientific and technical experts and commissions specializing in	Industrial combines group together enterprises that produce identical or similar products, employ similar technologies, or represent interconnected production	
Ministry for Science and Technology. The Ministry	states. They may include ancillary enterprises, research institutions, production plants, and their own foreign trade enterprises. R&D personnel, many of	2
for Science and Technology was established in 1967. It is the main governmental organization involved in managing and directing scientific research, particu-	whom had previously worked in independent facilities, now work largely within combines with direct links to the production process. For example, the R&D facili-	
larly in the area of applied science, and plays a key role in articulating S&T issues. It selects projects of	ties of Karl Zeiss-Jena employ about 7,500 people, one-third of them highly trained technicians. As of	
national importance to be included in the state plan for science and technology and monitors the plan's fulfillment. Its minister, Herbert Weiz, holds a promi-	1984, centrally managed industry included 132 combines with 20 to 40 enterprises each and an average work force of 25,000; there were also 93 district-	
nent place in GDR S&T efforts. In his capacity as a deputy chairman of the Council of Ministers, he oversees the Research Council and the Academy of	managed combines employing a total of 206,000 people.	2
Sciences. Ministry for National Defense. The Ministry for	Since about 1980, the combines have been directly subordinate to the national ministries. A combine director general is personally accountable to his su-	2
National Defense reaches into every corner of the economy to ensure that the military receives adequate	pervisor minister, who theoretically has the exclusive right to appoint, dismiss, or issue instructions to him.	
supplies of weaponry, technology, and equipment. It is involved in R&D planning through its Technology and Weaponry Administration, which is headed by a	The director general has similar authority over his enterprise directors. In addition, he can assign goals for exceeding state plan targets to individual enter-	
deputy minister. According to information published	prises: change enterprise tasks or shift them among	

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in 1980, this administration includes subordinate departments responsible for technology administration, innovation, research and development, weaponry, planning and coordination, procurement, medical

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enterprises; transfer sections from one enterprise to another; create new sections; and decide which tasks (for example, R&D) are to be centralized within the combine. Academy of Sciences of the GDR. About 20,000 people, including 7,000 scholars and researchers, currently work in the academy and its 100 institutes and research establishments. Major research centers are located in East Berlin, Liepzig, Jena, Dresden, Grief-	25X1
located in East Berlin, Liepzig, Jena, Dresden, Griefwald, and Rostokk. The overall mission of the academy is to conduct basic and applied research with special emphasis on the application of scientifictechnical progress to production. The academy plans	25 X 1
and coordinates research in the natural, mathematical, and technical sciences and coordinates functions in some fields of social and medical research. It also cooperates with state-owned combines and enterprises in drafting long-range R&D strategies and speeding up the development of new products, technologies, and processes. Its institutes have worked closely with industry in research on laser technology, ceramics, medical technology, pharmacology, and robotics. According to an East German article, scientific cooperation among the CEMA countries intensified considerably during 1981-83. Scientists from member states worked on projects in 23 multilateral problem commissions, 14 in natural sciences, and nine in social sciences. In addition, the multilateral Problem Commission on Physical-Technical Problems of Energy Science was established and its task groups on electrical physics, electrical engineering, and low temperature plasma physics began working.	25X1 25X1
In June 1984, a new statute expanded the duties of the Academy of Sciences and increased its authority in international scientific cooperation, including the expansion of relations with scientific institutions in non-Communist countries. The statute also established a council to advise the academy president, Werner Scheler, on drafting plans, supervising plan fulfillment, and organizing domestic and international cooperation relations. According to the same article, one of the most effective forms of multilateral cooperation is the CEMA base laboratory, which operates as a joint research installation. Such laboratories have been formed within the Problem Commissions on Computer Engineering, Semiconductor Physics, High-Molecular Compounds, Kinetics, Catalysis, and Petrochemistry. Eight of the 42 such laboratories are in	25X1
CEMA has served as a framework for cooperation among its members since its founding in 1949. Its 1971 Comprehensive Program set the guidelines for CEMA activity through 1990 calling for joint planning through interstate coordinating bodies. The	05.
CEMA Committee on Scientific-Technical Cooperation is responsible for organizing and coordinating multilateral S&T cooperation. The program also emphasizes multilateral projects for developing new regional sources of fuels, energy, and raw materials—projects that are jointly planned and executed. In 1982 more than 200 agreements and contracts on joint research, development, design, and experimental	25X1
projects were in effect.	25X1
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and antical and commutes tachnalasies. With soil	The GDR offers three academic degrees. The first,	
and optical and computer technologies. Without the contribution of the other members of CEMA, the	bachelor of a particular academic discipline—for	
development of the Ryad-3 and -4 series of computers		
by the Soviets might have taken much longer.	requires completion of a university course of study	
by the Soviets inight have taken inucli longer.	that includes the submission and public defense of a	
Bilateral GDR-USSR Cooperation. Cooperation with		
the USSR extends to all sectors of the GDR economy,		
from basic research to technical development and	awarded after the public defense of a "doctoral thesis	
production. Recent cooperation has focused on joint	B" or a corresponding dissertation of the highest	
S&T efforts in the advanced processing of raw materi-	academic quality. To attain either of the doctoral	
als and the applications of microelectronics and robo-	degrees, the graduate must hold the preceding degree.	
tic equipment. In October 1984, the two countries	The only exception is the honorary doctorate—	
signed an agreement on cooperation in science, tech-	Dr. h.c. (doctor honoris causa), which is awarded to	
nology, and production through the year 2000. Ac-	academics and leading public figures for excellence in	
cording to this agreement, joint GDR-USSR research		
will increase in the following key areas: extraction and		
utilization of domestic raw materials; refinement of	Technology Transfer	
raw materials and fuels; microelectronics, optoelec-	1 COMOUNT 1 AUSTO	
tronics, and robot technology; coal refining; and biotechnologies, especially microbiological processes ap-	East Germany has been a traditional beneficiary of	
plicable to agriculture.	COCOM-controlled Western strategic technology	
photolo to agriculture.	from the Federal Republic of Germany because of its	
Scientific and Engineering Education in the GDR. A	common border and special political and economic	
law establishing an "integrated socialist education	relationship with West Germany. The GDR has been	
system," promulgated in 1965, provides for a state-	heavily dependent on the FRG for controlled, dual-	
controlled secular school system. The core of the	use (that is, civil-military) technology for its industrial	
system is the 10-year comprehensive polytechnical	and military development. Moreover, the FRG is	
facilities administered by the schools or by factories.	generally acknowledged as the major source of illegal	
At the 10th grade level, teachers single out exception-	acquisition of advanced technology by the Soviet	
al students for two more years of study at the	Union; much of that technology originates in the	
expanded secondary school—the most important	United States and some of it reaches the Soviets from West Germany via East Germany.	
channel for entry into colleges and universities. In	west Ocimany via East Ocimany.	
1977, only 9 percent of those completing the 10th grade were so selected. The remaining students gener-		
ally receive some form of vocational training.	who defected to West Germany	
Total of Total of Total on the Indian of the	provided the first detailed picture of the GDR's role in	
The 71 universities and colleges in the GDR are the	illegal technology transfer acquisition and, in particu-	_
country's highest academic institutions; to be admit-	lar, those of the Ministry of State Security (MFS)	_
ted, a student must pass the Abitur (university en-		
trance exam). Other criteria for the selection of		
candidates include their academic records, education-		
al potential and social activities; the social composi-	acquisition of documentation and models of advanced	
tion of the population; and the needs of society. A full-		
time university course lasts four to five years, except	and acquired technology that was subsequently esti- mated to have saved East German industry 300	
for medicine which requires six. The curriculum covers general subjects such as Marxism-Leninism as	•	
well as the field of specialization. Students in the		
natural and technical sciences also acquire practical		
experience in laboratories and enterprises		
onportation in laboratories and enterprises		
	4	

million marks, a leverage factor of 100 over their expenditure. Since East German industry is required to return 10 percent of such savings for the upkeep of the MFS, they were under no compulsion to exaggerate their estimates of the value of these acquisitions. There is no reason to believe that the situation has changed significantly.

There are numerous examples of East German hightechnology espionage and illegal trade operations in the West, particularly in the FRG. These sometimes involve the suborning of single individuals in large manufacturing firms, the use of small Western trading firms as intermediaries, the creation of dummy front organizations for particular operations, and the employment of small firms with a covert tie to an East German governmental entity. Representatives of East Germany's MFS usually masquerade as trade representatives and often carry out negotiations within East Germany itself making it difficult for West German counterintelligence to be effective in anything but direct espionage cases. By analogy with other East European countries, we believed that certain requirements for illegal high-technology acquisitions are levied on the GDR trading mission in Moscow by Soviet authorities

The fact that West Germany regards East Germany as merely another portion of a "Greater Germany" facilitates the movement of goods and people between the two regions. Movement of goods in illegal trade between the FRG and GDR, however, often takes place through Switzerland or Austria as transit areas, rather than directly across-border into the GDR. It is so easy to move goods, particularly small items, across the border into the GDR from the FRG, that it is surprising that this activity is not observed taking place more often. Perhaps it does, of course, and we are simply not aware of it

Worldwide, no East European intelligence service has been as active or as successful in illegal technology transfer as the East German MFS. The Science and Technology Department (SWT) of the Main Administration for Intelligence (HVA) is responsible for both the acquisition and evaluation of S&T materials. Initially the SWT confined its interests to the collection of information on military and armaments technology from the FRG and NATO member nations. In

the mid-1970s, the East German Government adopted a policy which concentrated all available resources on closing the technology gap with the West (estimated at that time to be 20 years) and began acquiring Western technology by both legal and illegal means. The HVA, naturally, plays its part in this effort as well as continuing its activities in military technology transfer. These MFS/HVA efforts have been able to supply East German industry with technological processes or production capacities that would not otherwise have been available or which would have been far more expensive to obtain legally.

The SWT receives its mission allocations from a variety of sources. There is a list of requirements in support of economic development on file in CEMA. The East German economy has a set of long- and short-term priorities and the Soviets also call for support for their economic and military needs. The MFS also undertakes its own initiatives based on its own appraisal of the needs of the East German economy. Collection activities are also carried out on behalf of several MFS-connected economic enterprises with highly specialized technical problems (for example, Robotron, the main East German developer and producer of robotics equipment). The Ministry for Science and Technology (MFT), the Academy of Sciences, the Research Council, and the Ministry for University and Technical School Affairs may also set requirements. Occasionally, the Ministry for Foreign Affairs may establish acquisition priorities.

The executive management of the MFS handles matters of cooperation with the Soviet Intelligence Services (SIS) in supporting the Soviet military-industrial complex. The MFS works closely with the SIS as does East German military intelligence with the GRU. Basic decisions are made by the directors of the MFS and the KGB, and the directors of the two military intelligence services with the SIS acting as the senior partner in each case. The directors work out long-range planning issues. The directors of the S&T departments of the corresponding services also remain in direct frequent contact with each other. For example, the director of the SWT travels to Moscow at

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the MFS. Such work is in fact a prerequisite for advancement at home and access to foreign travel to attend scholarly meetings or to undertake research projects at Western institutions. Professors working for the MFS attend conferences at home and abroad where they pump their Western colleagues and identify candidates for future recruitment. These academics are well situated to gain access to advanced production techniques and emerging technologies.

well as psychotropic and neurotropic drugs.

East Germany has engaged in scientific exchanges within the Warsaw Pact. One exchange between the Institute of Biophysics in Leipzig and the Institute for Biophysics in Moscow involves the study of thermobioluminescence using both natural and artificial

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membranes. Another cooperative venture was the development, with the Czechoslovaks, of automatic injectors for CW agent antidotes. East Germany has an active pharmaceutical industry which produces items for consumption within the Warsaw Pact countries and for export. Agreements within the Warsaw Pact tend to minimize duplication of effort. Overall, pharmaceuticals produced by the GDR, particularly at "GERMED" in Dresden, are probably superior in quality and efficacy to Soviet drugs. End items include cardiovascular drugs, sex hormones, chemotherapeutics, antibiotics, and bioactive peptides. East German biomedical equipment and instrumentation are the best in Eastern Europe. Further, the East Germans are world leaders in the biological effects of aerosol pollutants and the applications of therapeutic aerosols in medicine. East German sports psychology and medicine are the most sophisticated in the world. Psychological techniques include imagery, covert rehearsal, and autonomic conditioning. Conditioning techniques for athletes also have direct application to performance enhancement in many military tasks. Conversely, East Germany has very poor clinical psychological resources, such as psychopathology assessment devices, psychotherapy techniques, and psychodynamic application theory. The GDR is active in researching the biological effects of nonionizing electromagnetic radiation (NIEMR). Applications include the development of occupational safety standards for microwave exposure and laser therapy in medicine. However, their principal	groups within all of the twenty-plus government ministries. Overall, human factors R&D is conducted in a fragmented fashion and is clearly immature; the primary emphasis is on worker safety and job satisfaction rather than on the design of machine systems to optimize the man-machine interface. The East German biotechnology programs are not comparable to those in the West, Japan, and the Soviet Union. GDR scientists are capable of innovative molecular biology/genetic engineering research; however, support and funding for existing programs are inadequate. Attempts to develop cooperative agreements in this field with the Soviets have enjoyed limited success. East German scientists study all phases of molecular biology, microbiology, pharmacology, and biochemistry. Western journals and publications are readily available. The lead institute for molecular biology is the Karl Marx University in Leipzig. Key scientists are Dr. S. M. Rapporort and Dr. Harold Rosenthal. Dr. Rapporort is the director of the East German research plan (MOGEVUS) in molecular biology and genetics. This plan is designed to assure growth and progress in these sciences for the rest of the century through a coordinated national effort between various GDR institutes and universities. Program success will be dependent on enhanced government cooperation and financial support. There are centers for plant pathology whose personnel interact with foreign colleagues and attend conferences. At the Institute of Phytopathology in Aschersleben there is an International Bank of Plant Pathogens.	25X ² 25X ² 25X ² 25X ²
and laser therapy in medicine. However, their principal contribution is the manufacture of precision instrumentation, equipment, and optical components. These are the best in Eastern Europe. End items include a device to measure near-field electromagnetic radiation, high-quality surgical lasers, and optical filters, which are used throughout Eastern Europe. A visit by a GDR scientist to the United States resulted in the East German development of a very fast (picosecond) spectrometer. There is a lack of data on East German military human engineering/human performance R&D. Within the GDR civilian sector, human factors engineering is referred to as work sciences. There are work science	Metal Processing and Materials The German Democratic Republic is very advanced in basic metal melt processes. The Manfred von Ardenne Research Institute (MARI) in Dresden is one of the foremost electron beam (EB) research institutes in the world. They design and produce highly sophisticated EB guns and systems. The latest gun, designated EH 1200/50, is a 1,200 kW gun used for producing large ingots up to 800 millimeters in diameter and 3 meters in length. The Institute makes the guns and	25X′

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sells them to Kombinat VEB Lokomotivebau-Elektrotechnische Werke (LEW) in Hennigsdorf, which produces large multichamber furnaces. Many of these furnaces are sold to the USSR. LEW has reportedly manufactured a multichamber furnace for the USSR with a power of 8 MW capable of melting 100-tonne ingots. The East German EB canability also extends to coating units. would like to move its manufacturing capability to produce EB guns (and by extension coating furnaces) to the United States to shorten the manufacturing time and to compete more favorably	Germans have sufficient know-how and manufacturing ability to produce the microprocessor controllers necessary to operate advanced flexible manufacturing systems (FMSs). This includes machine tool, robot, and process controllers. The VEB Erfurt Electronics "Friedrich Engels" Plant has set up a small production center for microelectronic controls. This center permits the rapid production of controls meeting the special purpose of the user. The Engels plant will design and manufacture future controls for newly developed pressing and plastics machines of the Shaping Technology Combine. Automation advances in East Germany, including the production of 45,000 robots by the end of 1985, are expected to increase production 500 percent over 1982 levels.	
Another facility of high competence, working in the area of basic processing, is the VEB Edelstahlwerk, Freital, directed by Dr. H. Fiedler. This facility has an excellent capability to design and produce plasma guns which are used to melt metals and for a variety of other metal processes. They have built a plasma arc	The GDR has been one of the world's leaders in the implementation of FMSs. One system, the PRISMA II, has been operating for more than 12 years with productivity increases through software improvements. Software and its application to FMS is the most apparent machining-related technological area where the East Germans trail the West.	
remelt (PAR) furnace with a holding capability of 30 tonnes and plan to build a 50-tonne capacity furnace. The East Germans have developed these units in conjunction with Soviet technologists, the GDR designing and building the plasmatrons and the Soviets the furnaces. There are indications that the East Germans are not satisfied with this arrangement because the Soviets apparently take credit for the	The "Otto von Guericke" Technical Advanced School in Magdeburg has recently acquired a new laboratory that includes several computerized welding robots. This laboratory will be used for extensive research in the automation of welding technology. The computer-aided analysis and control of welding processes is something new for the GDR. All measurements are	
East German accomplishments. The East German capability in machine tools, robots,	fed through suitable channels into a computer labora- tory. Here, with the aid of appropriate devices, these measurements can be evaluated, analyzed in a model	
and flexible automation technology at least equals that of all other Warsaw Pact countries. The operational quality of machine tools produced in the GDR compares favorably to those produced in Western	MC 80 small computer, and processed for further study During 1985, the Thale Iron and Foundry Plant will	
countries	be converted from conventional steel production to powder metallurgy. The East Germans believe that this conversion can yield significant material- economic effects in the national economy. They state that Thale is among the pioneers in East German powder metallurgy. When compared with other tech-	
The East Germans have been manufacturing five-axis computer numerical controllers (CNCs), capable of three-axis simultaneous control, since 1982. Information on newer models of indigenously produced CNCs is not available. We believe, however, that the East	nologies, the technique of pressing workpieces out of	
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iron powder renders most subsequent machining unnecessary. The parts receive their near final form during the pressing. The increasing use of pressed parts in the construction of machines, cars, and textile machinery, as well as in the office machine and electrical appliance industries, requires the large-scale production of iron powder. The new installation in the Thale steel plant is for this purpose. It will obtain the requisite molten metal from the plant's existing electric smelting furnaces that have already produced iron powder low in phosphorus, sulfur, and oxygen; this powder already meets the specifications for pressed welding electrodes. This plant, as it is presently set up, will not be capable of producing superalloy powders or parts. Superalloys are used extensively in critical parts for military systems. For example, the turbine parts of high-performance jet engines are made from superalloys.

Some work on the explosive compaction of amorphous powders has been noted at the Institute of Solid State Physics of the GDR Academy of Sciences in Dresden. X-ray diffracted analysis indicated that the material remained in the amorphous state after compaction. It is not clear whether this work on amorphous alloys can be used for high-performance structural components, but it does suggest an interest in advancing rapid solidification technology—a new arena of materials science that has close ties to high-performance powder metallurgy.

East German composites research appears to be aimed at supplementing Soviet research in metal matrix composites and in nondestructive testing (NDT). The Soviet and East German literature contains references to work occurring at the A. A. Baykov Institute of Metallurgy in Moscow on graphite/copper composites. In addition, a program involving East Germans, Poles, Hungarians, and Soviets involves investigating exoelectron emissions and their application to NDT of composites and other materials; US technologists who have reviewed this technique are not convinced of its practical application.

Electro-optics

Electro-optics (EO) technology is one of the most critical advanced technologies. EO technologies can be used in a variety of "smart" weapons systems as parts of sensor and signal processors. EO technologies can also be used for optical computing and for controlling other machines. Optical computing can be a very powerful tool for addressing very complex mathematical problems, and we believe that the Soviets lead the West in this. We do not know if the Soviets have passed any optical computing technology to the GDR. In fact, we have very little information on GDR EO capabilities, but the GDR has a history of precision optical manufacturing

Optics. The GDR's optical capability at Karl Zeiss-Jena has been utilized by the USSR for a myriad of programs since World War II. Much of the high technology developed in the State Optical Institute (GOI) at Leningrad was originally derived from Zeiss-Jena technology. The GDR's optical scientists have played a major role in solving the alignment problems related to the "Delfin" glass laser system for fusion at the Lebedev Institute (FIAN) in Moscow.

it seemed to be common practice that all optical instruments used in the Soviet satellites or in the Inter-Sputnik program were of East German manufacture from the Karl Zeiss-Jena firm.

Lasers. Basic laser research in the GDR is directed by the Academy of Science. One of its major laser laboratories is the Central Institute for Optics and Spectroscopy (ZOS) in East Berlin. Because of the emphasis on spectroscopy, a large research program exists to study dye lasers. These lasers are tunable over a large segment of the optical band and are, therefore, extremely useful for spectroscopy. In particular, scientists at ZOS have done research on the generation of very short pulses from a dye laser using a saturable absorber. New materials and methods for making tunable dye lasers have also been investigated. For example, a laser has been constructed using chlorophyll from plants. This laser was pumped by a nitrogen laser and was tuned from 660 to 685 nanometers.

As part of an exchange program, ZOS scientists have worked at FIAN in Moscow, performing research on semiconductor lasers. A cooperative development program has also existed between ZOS and the State

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Nuclear Technologies

The East Germans have a world-class production facility for both stable and radioisotopes at the Central Institute for Nuclear Research (CINR) at Rossendorf near Dresden. They export these isotopes. CINR has strong ties to the Soviet Nuclear Research Institute at Dubna, and the GDR has produced and shipped large quantities of the Nitrogen-15 isotope to the Soviet Union.

In 1979, East Germany had completed one 70-megawatt (MW) pressurized water reactor (PWR) and had two 440-MW and one 100-MW PWRs under construction. East Germany is heavily committed to nuclear power and, therefore, has many trained nuclear scientists and technicians. According to a recent German visitor, the Soviet Union has not allowed the GDR to develop a reactor fuel reprocessing capability. The GDR, however, is strong in the other areas of nuclear fuel cycle including production, radiation monitoring equipment, and waste handling.

Computers and Microelectronics

The GDR is the most advanced of the Bloc countries in the areas of microelectronics and computer systems. Their success is attributable to their well-funded efforts in industrial and economic espionage (estimated over 500 active agents), their pre/post-war technological base, and an excellent capability to transfer technology from the West through France, Italy, Austria, Japan, and West Germany. As a technical leader in CEMA, we believe that the GDR contributes computing technology to Warsaw Pact military programs, as well as conducting R&D for applications in East German weapon systems, command and control, and support to other military programs

The East Germans are capable of technical innovation, are relatively successful manufacturers of computer goods as compared with their CEMA partners, and have consistently been a valuable conduit for the flow of Western technology into the Bloc. The greatest strength of the GDR is their competent engineering that enables successful production of computer equipment and other goods with imbedded computing technology, including military systems. Table 1 highlights East German strengths and weaknesses in computing technology.

Table 1 East German Computing

Strengths	Weaknesses					
Hardware engineering	Dependence on other CEMA nations for materiel supply (for example, some microcircuit devices and peripherals)					
Industrial applications (for example, flexible manufacturing systems, robot controls, and CNC)	Instrumentation (lack of high- quality instruments in adequate numbers to support RDT&E of their products)					
Office automation (for example, word-processing and unit record systems)	Widely accessible microcom- puters (low quality of machines impair the growth of new ideas and more applications)					

The GDR was probably the first Eastern Bloc country to obtain microchips, both logic and microprocessor (Intel 8080/8080A and Zilog Z8000), in quantities sufficient to export significant numbers of microcomputers to the USSR (approximately 1,000 K1520 computers based on the Intel 8080 in 1979). They have since developed their own production capability, which is very good. The GDR is probably attempting to produce a version of the Z8000 microprocessor. The East Germans market microprocessor-based systems for office automation, the machine tool industry (for example CNC and robot controls), and image processing.

The GDR appears to be the CEMA leader in development of flexible manufacturing systems including robots. They do competent R&D on robot/computer vision systems and the adaptive control of robots. Image-processing systems offer a solid market potential because of the cooperation between Robotron and Karl Zeiss-Jena. The Soviet Space Institute has a joint development with the East Germans on an image-processing system, A6471

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the Ryad 1 project by being a successful computer design; its success was enhanced by the serious problems with the ES-1050 and ES-1060—the only Ryad 1 models designed to have greater performance than the ES-1040. The German entry in Ryad 2 was the ES-1055 computer. Although it was a respectable machine, it did not earn a reputation similar to the ES-1040 and was never produced in quantity. The GDR's entry in the Ryad 3 project is the ES-1057. We do not know how successful this computer will be.

Under the Ryad program the East Germans have produced a variety of peripherals including magnetic tape units, low-speed line printers, a video display system, and several data transmission devices from modems to terminals. We believe that they are quite dissatisfied with Bulgaria being assigned a leading role in the manufacture of high-capacity magnetic disks; and like most CEMA customers of disk units, the Germans are frustrated by the low quality of disk units shipped for use with their Ryad computers. The East Germans would like to produce high-capacity magnetic disks, but there are rumors of reliability problems with the GDR disks.

communications networks, the transistorization of the microwave networks, and the microwave networks.

The GDR has been active in the development and utilization of PCM technology. Several firms manufacture PCM equipment, which has been exported to several nations including the Soviet Union, Hungary, and the People's Democratic Republic of Yemen. In 1984, the GDR and Poland were planning a program of scientific and technical cooperation for the development of new PCM technology and the marketing of already existing equipment to third parties. The GDR plans to use PCM technology on a new communications system for the energy sector, which is to be in use by 1987 or 1988. The East German Air Force/Air Defense (EGAF/AD) plans to use PCM equipment in conjunction with the FM-24/400 microwave radio system.

The EGAF/AD began upgrading their communications facilities by installing the PM 2A/400 microwave radio system at least as early as November 1981. They planned to have their system fully operational by 1 November 1985. The PM 24/4000, which 25X1

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is a frequency-modulated, 24 channel microwave radio system operating in the UHF range, is gradually replacing the RVG-950 low-capacity system throughout the GDR. EGAF/AD began installing the FM960.11000 microwave system in 1984. The FM960.11000, which is a frequency-modulated 960-channel system operating in the SHF range, has been plagued with problems since its installation and is not yet fully operational.

Other developments in communications technology include the following:

- The Ministry of Defense is planning to acquire a new voice communications device, designated the Alpha Memory, in 1986.
- The Koepenick Radio Works and the GDR Railroad developed a new VHF railroad communications system.
- The International Maritime Satellite Organization (INMARSAT) satellite communications equipment has been installed on GDR merchant vessels.
- The Statsionar-4 satellite earth stations in the GDR will be equipped with new transmitting and receiving equipment between 1985 and 1987 as part of the modernization of Intersputnik communications which includes conversion to digital communication.

East German S&T Visitors to the United States

This section is a review of East Germans who requested visits to the United States in S&T areas from 1975 to 1 March 1985.

No East German visits are on file prior to 1975, apparently because the United States did not have a formal diplomatic relationship with East Germany until then. Since 1975, however, only 310 East German S&T visitors requested entry into the United States.

Table 2 Focus Categories

1. Computer networking	11. Microwave
2. Computer systems	12. Vehicular
3. Software	13. Optical and lasers
4. Automated real-time	14. Sensors
controls	Undersea systems
5. Materials	17. Chemicals
6. Directed energy	18. Nuclear
7. Semiconductor and	20. Agriculture
electronic components	21. Transportation
8. Instrumentation	22. Biology
9. Telecommunications	23. Medical science
0. Communications, navigation,	24. Earth sciences
guidance, and control	25. Mathematics
•	26. Nonnuclear power

Note: No data are contained in the data base for focus categories 16 and 19. These were prospective MCTL categories which were deleted sometime after the data base was in operation.

A formal government-to-government S&T agreement has yet to be formulated. The East German S&T visit requests were made under the aegis of the US-GDR cultural agreement, various private arrangements, and business relationships with US firms. The absence of a formal S&T agreement has not been an obstacle for East Germans attending technical meetings in the United States, studying at US academic institutions, or visiting US companies.

Data Availability

The information was tabulated according to the focus categories, the visit

purpose, and the year(s) for which the visit was requested. The focus categories are derived from the major headings contained in the Military Critical

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Table 3
Visit Category by Year

Year Academic		Conference	Commercial	Commercial Training	Totals	
1975	1	2			3	
1976	2				2	
1977	1				1	
1978	7	1	1		9	
1979	23	8			31	
1980	30	24	1	1	56	
1981	33	38	6		77	
1982	38	53			91	
1983	20	46	5	10	81	
1984	26	30	3	1	60	
1985	9	2			11	
Totals	190	204	16	12	422	

Technologies List (MCTL). The individual specialties are reflected in the assignment of focus categories, but they are not used for tabulation purposes because of their lack of specificity, for example, physics, engineering, information processing, and so forth. The visit purposes are identified broadly as academic, conference, commercial, and commercial training. No attempt was made to tabulate specifically where the proposed visits were to occur because of the wide distribution among the facilities and locations listed under proposed visit itineraries

The purpose of the visit is tabulated for each individual by year. Hence, a given individual may be counted more than once if he requested entry into the United States in more than a single year or if he changed his visit purpose during his stay over a particular period. This factor accounts for the totals given in the tables and figures being in excess of 310.

Frequency of Visits

The lack of formal diplomatic relations between the United States and East Germany apparently served to inhibit S&T visits until 1975. The establishment of diplomatic arrangements, however, did not seem to act as catalyst for East German S&T visits since only 14 requests for visits in technical areas are recorded

for the years 1975 through 1978 (see figure 1). In 1979, the number of requests increased to 30. Visit requests increased to a peak of 85 in 1982. Since 1982, the numbers decreased to 60 in 1984 with requests projected to be about 50 in 1985, if the current rate remains constant.

Purpose of Visits

The purpose of the visits is primarily conference attendance as both spectators and participants (see table 3). The kinds of conference visits included international and national society technical meetings, academic seminars, surveys of technical areas, exhibitions, and specialty conferences such as the Gordon Research Conferences. Academic visits were almost equal in number to conferences.

Study, research, exchange of research information, and teaching/lecturing were the main pursuits described under the academic category. The commercial visits were few and involved mainly business and trade visits. Commercial training visits were also few in

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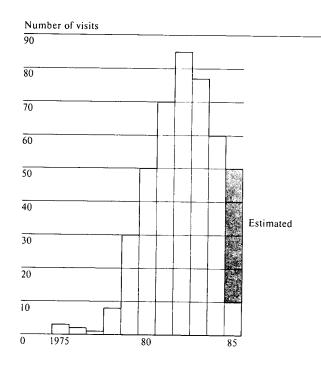
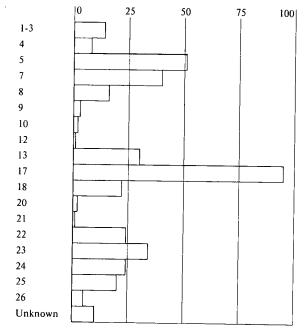


Figure 2 Number of Visits by Focus Category, 1975-85

Note: See table 2 to identify focus categories by number.

Focus category



number and covered food processing, chemical synthesis, and electronic instruments. Proposed visits encompassed all but four focus category areas which were directed energy, microwave, sensors, and undersea systems. The technical interests of the S&T visitors were concentrated in chemicals, materials, semiconductors and electronics, medical science, and optics and lasers (see figure 2). The number of visits by focus category and year is shown in table 4. Cursory reviews of visit concentrations for other Bloc countries have shown similar distributions.

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The data base information alone does not suggest a reason for the distribution of East German visit requests shown in figure 1

East Germany is one of the three East European countries favored by the USSR for collecting S&T intelligence, the others 305921 6-85

being Poland and Hungary. The decline and resurgence of US-USSR relations could account for the up-and-down distribution of visits shown in figure 1. US-USSR relations were beginning to decline in the 1978-79 time period due, in part, to the imposition of special trade controls particularly in the petroleum and natural gas exploration and development areas. East German S&T interests increased significantly in

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Table 4 Number of Visits by Focus Category and Year

Focus Category a	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	Totals
1 - 3	·			2	2		4	2		4		14
4				2	1				2	3		8
5				1	3	10	11	7	10	7	1	50
7		1	1		4	6	5	8	5	7	3	40
8					1	4	2	2	5	1	1	16
9	1				1		1					3
10							2					2
11												
12					1							1
13				1	1	2	3	7	10	5		29
14												
15												
17	1			l	7	13	14	25	17	15	2	95
18	1	1			3	3	1	5	_ 4	4		22
20							1				1	2
21										1		1_
22					2	3	3	4	6	4	1	23
23				1	1	1	7	17	3	4		34
24					1	3	11		7	2 _		24
25					2	2	3	_7	4		1	19
26						2	1		1	_1		5
Unknown						1	1	1	4	2		19
Totals	3	2	1	8	30	50	70	85	78	60	10	397

^a See table 2 to identify focus categories by number.

Note: No data are contained in the data base for focus categories 6, 16, and 19.

1979. During 1980-81, a further deterioration in US-USSR relations occurred as evidenced by US reluctance to renew various S&T agreements, an increase in export control restrictions, and the Soviet invasion into Afghanistan. East German S&T visit requests 1983. At the same time, a peak number of East German visit requests were received. As the United

continued to increase from 1980 through 1982. A low point in US-USSR relations was reached in 1982 and States and the USSR began to reconcile some of their differences in 1983-84, the East German S&T visit

requests declined noticeably. This sequence of events suggests that the USSR may have been using East German resources to gain S&T information which could not be acquired directly by the Soviets due to constraints imposed by the United States.

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